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The revolving door between hospital and community: extended-spectrum beta-lactamase-producing Escherichia coli in Dublin.

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1	The	revolving	door	between	hospital	and	community.	ESBL-producing
2	Escherichia coli in Dublin							

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4 Running title: ESBL-producing *Escherichia coli* in Dublin

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20 Summary

21 Background

Escherichia coli that produce extended-spectrum beta-lactamases (ESBLs) are an increasing cause of healthcare-associated infection and community healthcare facilities may be a reservoir for important epidemic clones.

25 **Aim**

To retrospectively characterise and investigate the epidemiology of ESBL-producing *E. coli* collected in a Dublin hospital, during 2009 and 2010, and to investigate the dissemination of specific clones within hospital and community healthcare facilities.

29 Methods

Pulsed field gel electrophoresis (PFGE) was used to determine the genetic relatedness of 100 ESBL-producing *E. coli* isolates. Phylogenetic groups were determined and the O25b-ST131 clone identified in the collection. The genetic data was correlated with antimicrobial susceptibility, clinical and demographic data to explore the epidemiology of specific clones.

35 **Findings**

³⁶ Phylogenetic groups B2 (62%) and D (18%) were the most common and were ³⁷ associated with non-urinary isolates (P<0.0001 by Fisher's exact test). Pulsed-field gel ³⁸ electrophoresis (PFGE) revealed twelve clusters (≥80 % similarity), the largest of which ³⁹ clustered with the epidemic UK strain A. Residents of long-term care facilities (LTCFs) in the community exclusively carried the O25b-ST131 clone and phylogenetic groupsB2 and D.

42 **Conclusion**

E. coli O25b-ST131 is largely responsible for ESBL-producing *E. coli* in LTCFs in Dublin. The distribution of ESBL-producing *E. coli* in our hospital and community highlights a 'revolving door' through which these resistant bacteria spread and disseminate.

- 47 Key words: Extended-spectrum beta-lactamase, Escherichia coli, O25b-ST131 clone
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- 49

50 Introduction

Since 2000, strains of Escherichia coli are the dominant extended-spectrum beta-51 lactamase (ESBL) producers worldwide, with CTX-M-15 the most widely disseminated 52 of the ESBL enzymes.¹⁻² According to the 2009 European Antibiotic Resistance 53 Surveillance Network (EARS-Net) report, the prevalence of invasive E. coli resistant to 54 third generation cephalosporins in Europe has increased from 1.7% in 2002 to 8% in 55 2009 (P<0.001) in 22 countries.³ ESBL-producers are increasingly prevalent in non-ICU 56 settings which may be due to increased admission of patients with urinary tract or 57 bloodstream infections from nursing homes and other community healthcare facilities.⁴⁻⁵ 58 Risk factors for infection with an ESBL-producer includes recurring urinary tract 59

infections (UTI) and underlying renal pathology, old age, nursing home residence and recent exposure to β-lactams or fluoroquinolones.⁶

Transmission of ESBL genes is facilitated by their frequent location on conjugative 62 multiresistance plasmids and the association of these plasmids with local and epidemic 63 clones of Enterobacteriaceae. The most notable of these is E. coli O25b-ST131, a 64 fluoroguinolone-resistant strain of the B2 phylogenetic group, associated with the global 65 dissemination of CTX-M-15.⁷⁻⁸ This pandemic clone comprises five closely related 66 clusters in the United Kingdom (UK strains A-E), UK strain A is epidemic in the UK and 67 is widespread among Irish hospitals and Belfast nursing homes.⁹⁻¹¹ Nursing homes may 68 be reservoirs of these clones in the Republic of Ireland. Similarly, the clinical 69 70 characteristics of patients with ESBL-producing *E. coli* (ESBL-EC) in Ireland have not been extensively investigated. 71

We characterised 100 ESBL-EC collected in a Dublin hospital, during 2009 and 2010, to determine their genetic relatedness and to investigate the dissemination of specific clones within hospital and community healthcare facilities. Clinical data, patient demographic data and antimicrobial susceptibility data relating to the isolates were analyzed to investigate the epidemiology of ESBL-EC.

77

78 Materials and methods

79 Study setting

Beaumont Hospital is a 700-bed tertiary referral hospital in Dublin, Ireland providing emergency and acute care to the local community of approximately 300 000 people. The microbiology laboratory receives specimens from the hospital and community healthcare facilities including general practitioners (GPs) and nursing homes.

84

85 Bacterial strains

The American Type Culture Collection (ATCC) strains E. coli ATCC 25922, E. coli 86 87 ATCC 35218 and K. pneumoniae ATCC 700603 are ESBL-negative, ESBL-positive and bla_{SHV} ESBL-producing controls respectively. Salmonella enterica serovar Braenderup 88 H9812 was a molecular weight reference strain for PFGE. The National Collection of 89 Type Cultures (NCTC) strain E. coli NC13441 (UK strain A) was a comparison strain for 90 PFGE.¹² One hundred ESBL-EC clinical isolates recovered from samples received by 91 the diagnostic microbiology laboratory between January 2009 and December 2010 were 92 studied. These were selected from all E. coli isolates (468) identified as ESBL-93 producers within the time period and selection was based on prioritization of serious 94 infections (e.g. bloodstream isolates) with an even temporal distribution of isolates. One 95 representative isolate per patient was selected. Isolates were confirmed as ESBL-96 producers phenotypically using Brilliance[™] ESBL Agar (Oxoid Ltd., Cambridge, UK). 97

98

99 Determination of the *E. coli* phylogenetic group and detection of *E. coli* O25b 100 ST131 clone

E. coli clinical isolates and reference strains were assigned to phylogenetic groups A, B1, B2 or D using the triplex PCR method of Clermont *et al.*¹³ Strains not yielding PCR products were scored as unassigned.¹⁴ An allele-specific PCR of the *pabB* gene was used to identify clone O25b-ST131 among B2 phylogenetic group members as previously described.¹⁵

106

107 Pulsed-field gel electrophoresis (PFGE) of *E. coli* clinical isolates

Xba I-digested genomic DNA from E. coli isolates and UK strain A were subjected to 108 PFGE according to the PulseNet standardized laboratory protocol for E. coli¹⁶ 109 Electrophoresis was performed in a 1% (w/v) SeaKem agarose gel with 0.5 X Tris-110 Borate-EDTA (TBE) buffer for 19h in a CHEF-DR III apparatus (Bio-Rad), (initial switch 111 time 2.2 s, final switch time 54.2 s, 6 V, included angle of 120°, 14°C). Where DNA 112 degradation occurred, electrophoresis was repeated with thiourea (50 µM) in the 113 Macrorestriction patterns were analysed using GelCompar II® running buffer.¹⁷ 114 software (Ver. 6.5, Applied Maths NV, Saint-Martens-Latem, Belgium). Variability was 115 determined by the Dice coefficient using a tolerance of 1%. Strains were clustered 116 according to the unweighted pair group average method. Clonal groups were assigned 117 based on a similarity of \geq 80% (\leq 6 band difference in restriction profile) as previously 118 described.¹⁸ Isolates indistinguishable by PFGE were assigned the same alpha-119 numerical PFGE type. 120

121

122 Antimicrobial susceptibility testing

Antimicrobial susceptibility testing was carried out in the diagnostic microbiology
 laboratory of Beaumont Hospital using the BD Phoenix[™] Automated Microbiology
 System and results were interpreted according to Clinical and Laboratory Standards
 Institute (CLSI) guidelines.¹⁹

127

128 Data collection

Patient demographic data, clinical data and antimicrobial susceptibility data for ESBL-EC isolates were obtained by retrospective analysis of computerized hospital medical records. Clinical details, including patient outcome, were unavailable for community patients with ESBL-EC.

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134 Statistical analysis

Numerical data were expressed as mean ± standard deviation (SD) or standard error of the mean (SEM) and inter-quartile range. Fisher's exact test was used to compare categorical data. Tests were performed using Prism 4 for Windows and were two-tailed.

138

139 Results

140 Clinical features and patient demographics

Amongst 633 isolates identified as ESBL-producers during the study period 468 were E. 141 coli, 57 were Klebsiella spp. and 18 were other Enterobacteriaceae (eg, Pseudomonas 142 spp., Proteus spp., Morganella morganii). Patient demographic and clinical 143 characteristics for 100 ESBL-EC isolates studied are outlined in Table I. Almost two 144 thirds (65%) of patients were inpatients at the time of isolation of ESBL-EC. A further 22 145 patient samples were from community healthcare facilities including nursing homes 146 (NH; 9 %) and GPs (13%). Urinary isolates made up the majority of ESBL-EC (68%), 147 with 38/68 (56%) from inpatients. Isolates cultured from blood (8), fluid (5), surgical 148 theatre specimens (2), catheter/cannula tips (2) and nine of 12 respiratory isolates were 149 from hospital inpatients. All GP and Emergency Department (ED) samples and 7/9 NH 150 samples were urinary, with the remainder being wound swabs. Outpatient samples were 151 152 urinary (4) and respiratory (3). Among inpatients, median length of stay (LOS) was 23d and in-hospital mortality was 20%. Two thirds of inpatients were on medical wards, the 153 most common specialities being geriatric medicine (14%), respiratory medicine (11%), 154 nephrology (9%), gastroenterology (8%) and rheumatology (8%). 155

All isolates were multidrug-resistant (MDR) according to the definition of the European 156 Centre for Disease Control and Prevention.²⁰ Although all isolates were susceptible to 157 almost complete resistance to several 158 meropenem, there was beta-lactam antimicrobials including amoxicillin (100%), aztreonam (99%), cefuroxime (100%), 159 cefazolin (100%), cefotaxime (97%), ceftazidime (99%) and cephalothin (100%). A 160 relatively high resistance rate to other commonly used Gram-negative antimicrobials 161 was evident, with the majority of isolates co-resistant to ciprofloxacin (73%), co-162 trimoxazole (78%) and amoxicillin-clavulanic acid (72%). Overall, 64% of clinical 163

isolates showed reduced susceptibility to 3 or more non-β-lactam/combination
 antimicrobials.

166

167 Genetic relatedness of isolates

168 The relatedness of *E. coli* clinical isolates is represented in Figure 1. Phylogenetic groups were successfully assigned to 97% of E. coli clinical isolates. The most 169 prevalent phylogenetic groups were B2 (62%) and D (18%). The other isolates 170 comprised group A (10%) and group B1 (7%), most of which were recovered from urine 171 samples (13/17; 76.5%). A significant association was found between group B2 and D 172 isolates and non-urinary types with 26/32 isolates (81%) belonging to these groups 173 versus four non-urinary isolates involving group A or B1 isolates (P<0.0001, Fisher's 174 175 exact test). Isolates responsible for bloodstream infections belonged to phylogenetic groups B2 or D. Of the 62 B2 phylogenetic group isolates, 54 (87%) belonged to the 176 177 O25b-ST131 epidemic clone.

178 PFGE analysis of 100 isolates revealed 87 distinct types of which 64 isolates were clonally related and comprised 12 clusters (A-L) based on a similarity of ≥80%. Cluster 179 A was the largest group (n=34), all belonging to the O25b-ST131 epidemic clone and 180 clustering with the epidemic UK strain A. Clusters B, C and D (n=5, 6 and 2 181 respectively) also belonged to the O25b-ST131 clone but were <80% similar to UK 182 strain A. Members of the remaining clusters also shared the same phylogenetic 183 184 backgrounds, with clusters E, F and J belonging to group B2; clusters G, H and I belonging to group D and clusters K and L belonging to groups A and B1. 185

187 Epidemiology of clonal groups The epidemiological and antimicrobial resistance patterns of the clonal groups are summarised in Table II. Cluster A isolates 188 demonstrated distinctive resistance profiles to ciprofloxacin, trimethoprim, amoxicillin-189 clavulanic acid and co-trimoxazole. Cluster G isolates displayed resistance to 190 gentamicin, not evident in other clusters. The clusters with the most resistance to 191 common Gram-negative antimicrobials were B2 phylogenetic group clusters J (resistant 192 to 67% of six antimicrobials) and A (63%). Cluster L, containing group B1 isolates had 193 the lowest proportion of co-resistances (25%). O25b-ST131 isolates comprised 21/27 194 195 (78%) of piperacillin-tazobactam-resistant isolates and 10/11 (91%) of amikacinresistant isolates. 196

All twenty patients that normally resided in LTCFs/NH in the community, eleven of which 197 were hospitalised during the study period, carried clonal isolates. Seventeen were 198 199 O25b-ST131 (including twelve cluster A isolates), and the remainder were phylogenetic groups B2 (1) and D (2). Clonal ESBL-EC from clusters A (3), C (2) and I (1) were 200 isolated from six of eleven hospitalised NH residents on the day of hospital admission. 201 Members of six clonal groups were isolated from both NH residents and hospital 202 203 inpatients during the study period (Table II). Isolates belonging to cluster A were detected in residents of six long-term care facilities over 23 months and from 17 hospital 204 wards. Cluster A isolates were also recovered from six different specimen sources and 205 from all patient categories. The pandemic O25b-ST131 clone was less prevalent in 206 207 patients that normally resided at home than in NH residents (37/80; 46% versus 17/20;

208 85%) P = 0.0022. Also all patients with sporadic ESBL-EC strains normally resided at 209 home. The O25b-ST131 clone accounted for 33/65 (51%) of ESBL-EC recovered from 210 hospital inpatients. Amongst GP urine samples 54% were positive for O25b-ST131 and 211 belonged to clusters A(2), B(2) and D(1).

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214 **Discussion**

This study reports a molecular investigation into ESBL-producing E. coli and their 215 associated patient characteristics. E. coli accounted for 86% of ESBL-positive 216 Enterobacteriaceae isolated during 2009 and 2010 in our hospital. Although 217 bloodstream and respiratory isolates were prioritized to include those causing the most 218 219 severe infections, the majority of isolates were of urinary origin, reflecting the increase in ESBL-producing *E. coli* UTI seen worldwide.⁶ Over two thirds of patients carrying 220 ESBL-EC were over 65 years old and almost three quarters were resident in a 221 healthcare facility at the time of sample collection, either in hospital (65%) or in a 222 nursing home (9%). The median length of stay for inpatients was relatively high (23d) 223 matching the median LOS found for patients in a recent study from a Belgian hospital of 224 similar size.²¹ Extended hospital stay is a known risk factor for hospital-onset ESBL-225 producer infection.⁶ 226

The majority of study isolates were non-susceptible to β-lactam antimicrobials but all were susceptible to meropenem. Frequent non-susceptibility to other antimicrobial

229 classes was observed with 64% of isolates non-susceptible to at least three of six non- β -lactam or β -lactam-inhibitor combination antimicrobials. The high level of resistance to 230 β-lactam-inhibitor combination antimicrobials amoxicillin-clavulanic acid and piperacillin-231 tazobactam, suggests concomitant production of other beta-lactamase enzymes such 232 as those belonging to Ambler classes A (e.g penicillins TEM-30 and SHV-10), C (e.g. 233 AmpC) or D (OXA enzymes).²²⁻²³ The variability in antimicrobial resistance observed 234 between isolates of the same clonal group may be explained by differences in the 235 content and structure of mobile genetic elements, such as resistance plasmids and 236 237 integrons.

A previous Irish study of ESBL-producing Enterobacteriaceae isolates from 25 different 238 laboratories over an 11 year period highlighted the high prevalence of resistance to 239 ciprofloxacin and we found similar prevalence rates amongst *E. coli* (73 %).⁹ Resistance 240 241 to gentamicin was less prevalent at 24% of ESBL-EC (24/100) compared to 38% (176/462) of ESBL-producing Enterobacteriaceae, 85% of which were E. coli. The 242 continuing trend towards multidrug resistance that limits empirical therapy choices for 243 Gram-negative infections is evident. Excluding meropenem, only amikacin and 244 nitrofurantoin were effective antimicrobials in terms of in-vitro susceptibility (97% and 245 90% of isolates). 246

Most isolates belonged to the B2 (62%) and D (18%) phylogenetic groups which contain virulent extra-intestinal strains.²⁴ In the present study, strains belonging to these groups were significantly associated with non-urinary isolates and all bloodstream isolates belonged to these groups. Phylogenetic groups A and B1, which are associated with

human and animal commensal strains, were represented by only 17 isolates, the majority being from urine samples (13/17, 76%).

The pandemic O25b-ST131 clone was predominant in the collection, represented by 253 over half of all isolates and the majority of B2 phylogenetic group isolates (87%). This 254 255 virulent uropathogenic strain is described in all five continents and is commonly coresistant to fluoroquinolones.²⁵ In our collection, O25b-ST131 clone members were 256 represented by five clusters (A-D and J), the largest containing 34 isolates and 257 clustering with epidemic UK strain A. The distinctive antimicrobial resistance profile of 258 UK strain A was also observed in cluster A, i.e. resistance including ciprofloxacin and 259 trimethoprim and marked susceptibility to gentamicin.¹² The widespread dissemination 260 of this strain is evidenced by its isolation from all patient categories. 261

A nationwide study of 69 Irish LTCFs in June 2010 revealed a prevalence of healthcare 262 associated infection (HCAI) of 3.7% among 4170 patients. Over one third (35.8%) of 263 264 antibiotic prescriptions were intended for prophylaxis of UTI; however UTI remained the most common HCAI (40%). It is possible that the practice of prophylactic prescription for 265 UTI may have contributed to the success of the MDR ESBL-EC strains in Irish LTCFs, 266 especially the uropathogenic O25b-ST131 clone.²⁶ In the present study all twenty 267 patients normally residing in LTCFs had clonal ESBL isolates belonging to phylogenetic 268 groups B2 and D and 17/20 were O25b-ST131. This suggests that E. coli O25b-ST131 269 and some other virulent clones may be largely responsible for ESBL-EC 270 infections/carriage in LTCFs. In contrast patients with sporadic strains all normally 271 resided in their home, which suggests that a greater variety of ESBL-EC strains 272

circulate in the community compared to LTCFs. However the high proportion of O25bST131 amongst ESBL-EC positive inpatients (51%) and GP urine samples (54%)
suggests this clone is dominant in hospital and community settings.

Although difficult to identify definitive transmission events based on the recovery of 276 277 indistinguishable PFGE types alone, we can speculate that ESBL-EC strains may have disseminated throughout the hospital and from LTCFs in the community to the hospital. 278 For example, three isolates from cluster A2 (0026-0028) were isolated within three days 279 of each other from patients on three geographically separate wards. Two of these 280 patients originated from the same nursing home and an ESBL-EC was isolated from 281 282 one of these patients upon hospitalization. The recovery of identical strains (F1 (0041 and 0042) may indicate cross infection with the postulated route of spread from an ED 283 patient to a surgery patient. However certain clonal strains including UK strain A may be 284 285 endemic in the hospital and this may account for their recovery from multiple hospital patients over extended time periods. For example PFGE type A2 isolates were 286 recovered from five geriatric patients, all from different hospital wards over 18 months. 287 Although the origin of the strains is not clear, it is evident that both the hospital and 288 LTCFs in the community are now a reservoir for many of the same ESBL-EC clones. 289

There are limitations to the study. Due to time and resource constraints consecutive isolates were not studied. Therefore the isolate collection represents just over one fifth of ESBL-EC isolates recovered during the study period. Bloodstream and respiratory isolates were prioritised and therefore are over-represented in this study. This may have introduced bias to certain clinical characteristics. Limited reliable data on previous

hospital contacts for this patient cohort precluded the definition of infections as
healthcare-associated or community-associated.

This study describes the epidemiology and molecular characteristics of ESBL-EC 297 clones in an Irish hospital. Similar to the pattern observed in other European countries 298 299 and world-wide, our study highlights the importance of the epidemic O25b-ST131 clone as the major category of ESBL-producing *E. coli* in our hospital and local community.^{25,} 300 ²⁷ The dissemination of clones throughout the hospital and between several LTCFs in 301 the local community and the hospital is supported by the identification of highly similar 302 or identical PFGE types among hospital and NH patients and the predominance of the 303 O25b-ST131 clone in both settings. This indicates the potential for continuous 304 recirculation of this clone between healthcare facilities. This 'revolving door' mechanism 305 for the spread of ESBL-EC in our catchment area highlights the challenges faced in 306 preventing and controlling their spread. 307

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Characteristic	Value
Patient demographics	
Male: female	37:63
Mean age, <i>y ±SD [SEM] (range)</i>	67 <i>±</i> 21[2](11-100)
Residents of nursing homes or long term care facilities	20
Patient types	
Hospital inpatients	65
Emergency Department patients	6
Outpatients	7
GP referral patients	13
Nursing home patients	9
Length of stay^	
Mean LOS <i>d</i> ± <i>SD(SEM)</i>	97.5 ± 232(28.8)
Range d	0.5-1434
Inter-quartile range <i>d</i>	8-49
Median LOS d	23
Time from admission to collection of ESBL-EC ^	
Mean time <i>d</i> ± <i>SD</i> (<i>SEM</i>)	63.5 ± 178.5(22.3)
<7d <i>n(%)</i>	32(49)
≤30d <i>n(%)</i>	50(77)
>30d <i>n(%)</i>	15(23)
Clinical specimen type	
Blood	8
Urine	68
Respiratory	12
Wound Swab	3
Fluid	5
Others	4
Inpatient outcome^	
Discharged home n(%)	33(51)
Discharged to nursing home <i>n(%)</i>	10(15)
Transferred to other hospital <i>n(%)</i>	6(9)
Deceased n(%)	13(20)
Other n(%)	3(5)

Table I. Demographic and clinical data of 100 patients with ESBL-producing *E. coli*.

406 ^ = Inpatients only (65/100 patients), LOS = length of stay

PFGE cluster (No. of isolates)	Antimicrobial resistance ^a	Antimicrobial susceptibility ^b	Percentage of co- resistance ^c	Patient categories (No. of isolates) ^d	NH residents ^e
A (34)	CIP TMP AMC SXT	GEN	63	E(1) GP(2) I(23) O(2) NH(6)	12
B (5)	CIP	TZP AMK	43	GP(2) I(3)	1
C (6)	CIP	AMK	42	I(4) O(1) NH(1)	3
D (2)	-	TZP AMK	33	GP(1) I(1)	0
E (2)	TMP SXT	CIP GEN AMK	33	E(1) NH(1)	1
F (2)	TMP AMC SXT	CIP GEN AMK TZP	33	E(1) I(1)	0
G (3)	GEN TMP SXT	CIP TZP AMK	39	l(3)	1
H (2)	-	TZP AMK	33	I(1) O(1)	0
l (2)	TMP AMC SXT	TZP AMK	50	I(2)	1
J (2)	TMP TZP AMC SXT	GEN	67	I(1) NH(1)	1
K (2)	CIP SXT	GEN AMK	50	I(1) O(1)	0
L (2)	-	GEN TZP AMK	25	I(2)	0

407	Table II. Phenotypic and e	pidemiological	characteristics of	clonal groups.

a = >90% of strains tested were resistant to the listed antibiotics.

409 b = 100% of strains tested were susceptible

410 ^{a, b} Antimicrobials: AMC; amoxicillin/clavulanate, AMK; amikacin, CIP; ciprofloxacin,

411 GEN; gentamicin, SXT; trimethoprim/sulfamethoxazole, TZP; piperacillin/tazobactam,

412 TMP; trimethoprim (urinary isolates only).

^c Percentage of total antimicrobial susceptibility tests to the 6 antimicrobials listed above
 (excluding trimethoprim) with resistant result.

- ^d E; emergency department patients, GP; GP patients, I; inpatients, O; outpatients, NH;
- 416 nursing home/long term care facility patients.
- ⁴¹⁷ ^e Patients normally residing in nursing homes/long-term care facilities.

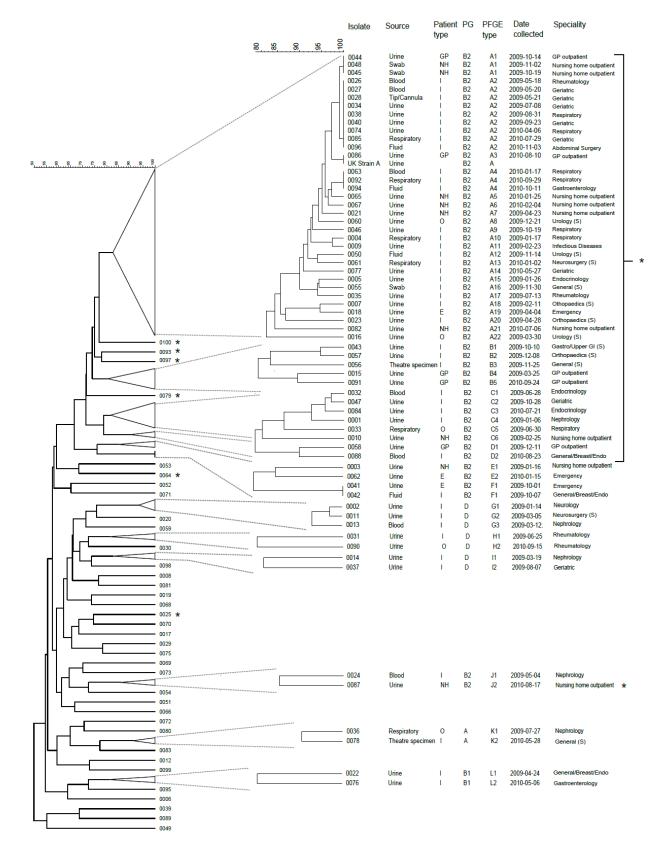


Figure 1. Dendrogram showing PFGE profile types (A-L) and E. coli phylogenetic 420 groups (PG). Pairwise cluster analysis was performed using the Dice coefficient with an 421 optimisation of 1% and a band matching tolerance of 1%. Columns L to R: Isolate = 422 423 clinical isolate number; Source = source of clinical specimen; Patient type: I = inpatient, O = outpatient, E = emergency department patient, NH = nursing home patient, GP =424 GP patient; PG = phylogenetic group; PFGE type: clusters A to L were identified based 425 on a similarity of ≥80% with distinguishable members numbered consecutively. Isolates 426 that were indistinguishable by PFGE were given the same PFGE type code; Date 427 collected = date of first isolation of *E. coli*; Speciality = medical speciality, (S) denotes 428 surgical; * = O25b-ST131 positive by PCR. 429

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431 **Conflict of interest statement**

HH has recent research collaborations with Steris Corporation, 3M, Inov8 Science,
Pfizer & Cepheid. He has also recently received lecture & other fees from 3M, Novartis,
Astra Zeneca and Astellas.

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