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# Identification and characterization of prodromal risk syndromes in young adolescents in the community: a population-based clinical interview study.

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# Identification and characterization of prodromal risk syndromes in young adolescents in the community: a population-based clinical interview study.

## Abstract

While a great deal of research has been conducted on prodromal risk syndromes in relation to help-seeking individuals who present to the clinic, there is a lack of research on prodromal risk syndromes in the general population. The current study aimed firstly to establish whether prodromal risk syndromes could be detected in non-help seeking community-based adolescents and secondly to characterize this group in terms of Axis-1 psychopathology and general functioning. We conducted in-depth clinical interviews with a population sample of 212 school-going adolescents in order to assess for prodromal risk syndromes, Axis-1 psychopathology and global (social/occupational) functioning. Between 0.9% and 8% of the community sample met criteria for a risk syndrome, depending on varying disability criteria. The risk syndrome group had a higher prevalence of co-occurring non-psychotic Axis-1 psychiatric disorders ( $OR=4.77$ ,  $CI_{95}=1.81 - 12.52$ ;  $p<0.01$ ) and poorer global functioning ( $F=24.5$ ,  $df=1$ ,  $p<0.0001$ ) compared to controls. Individuals in the community who fulfill criteria for prodromal risk syndromes demonstrate strong similarities with clinically-presenting risk syndrome patients not just in terms of psychotic symptom criteria but also in terms of co-occurring psychopathology and global functioning.

## Introduction

The onset of psychosis is usually preceded by a prodromal period prior to full-blown illness. Intervention at this early stage offers the hope of disease prevention. The concept of prodromal intervention as currently conceived emerged from research at the University of Melbourne in the 1990s. Yung, McGorry and colleagues developed a set of 'ultra high risk' (UHR) criteria for help-seeking individuals who presented to the clinic, which they demonstrated could predict a very high transition rate to psychosis (approximately 40%) over a 12-month period. Individuals meeting UHR criteria are said to have an 'at risk mental state' (ARMS). These criteria were used to formulate the Comprehensive Assessment of At Risk Mental States

(CAARMS), a clinical instrument for the assessment of ARMS based upon defined criteria involving (i) attenuated psychotic symptoms, (ii) frank psychotic symptoms of brief duration or (iii) genetic risk combined with functional deterioration.<sup>1-3</sup> Researchers at Yale University developed the Structured Interview for Prodromal Syndromes (SIPS) with a similar goal and demonstrated that, in line with Australian findings, individuals who met criteria for these 'prodromal risk syndromes' were at very high risk for psychosis.<sup>4-6</sup> In Europe, a set of 'basic symptoms', such as problems in dividing attention, thought blockages and disturbances in receptive and expressive language, have been used to successfully predict high risk for psychosis, either alone or in combination with UHR criteria.<sup>7-10</sup> The largest study to date examining transition from prodromal

risk syndrome to psychosis has been the collaborative North American Prodrome Longitudinal Study (NAPLS), which reported that up to 40% of individuals who met risk syndrome criteria converted to psychosis over 2.5 years.<sup>6,11</sup>

Such has been the impact of risk syndrome research that a new diagnosis – ‘Attenuated Psychosis Syndrome’ – has been proposed for the next version of the Diagnostic and Statistical Manual of Mental Disorders (DSM-V) (see Figure 1). The goal of a new diagnosis is to provide a diagnostic category that facilitates identification, treatment and research. This proposal, however, has sparked a great deal of debate amongst leading researchers in the field.<sup>12-18</sup> One important issue is the lack of population studies – while a great deal of research has been conducted on psychotic symptoms in the general population to date,<sup>19-23</sup> population researchers have not conducted the in-depth clinical assessments that have characterized the work of researchers at UHR clinics. On the other hand, UHR researchers have, to date, focused almost exclusively on help-seeking (i.e., self-presenting) individuals, without venturing into the community. A more complete understanding of prodromal risk syndromes requires that the detailed work carried out in UHR clinics be combined with a community-based, epidemiological approach. One preliminary report that has begun to address this issue involved telephone SIPS interviews with a sample of 16 to 35 year olds from the general population.<sup>24</sup> The researchers reported that just one participant fulfilled criteria for a prodromal risk syndrome. However, this study was limited by the small sample size (n=58) and the lack of information on the validity of telephone interviews compared to face-

to-face assessment. In order to (i) test whether prodromal risk syndromes/at risk mental states could be identified among young adolescents in the general population and (ii) characterize these individuals in terms of psychopathology and general functioning, we conducted in-depth assessments of psychotic symptomatology among 212 school-going adolescents aged 11 to 13 years.

## **Method**

### **Recruitment**

A sample of 212 adolescents from the general population aged 11 to 13 years took part in the current study. They were drawn from a sample of 1131 pupils from 16 schools in Counties Dublin and Kildare, Ireland, who took part in a survey of psychopathology, using the Strengths and Difficulties Questionnaire (SDQ),<sup>25</sup> which is a validated instrument that assesses for a wide range of symptoms of psychopathology, and for psychotic symptoms, using the Adolescent Psychotic Symptom Screener (APSS), which is a validated instrument that assesses hallucinations and delusions.<sup>26</sup> Written informed consent was obtained from the parent or guardian of participants as well as from the participants themselves. Participants of the survey study were asked to indicate on the consent form if they would consider taking part in a more in-depth study involving a clinical interview conducted at the research centre. Of the total 1131 adolescents, 656 (58%) indicated an interest in taking part in the interview study, from which a random sample of 212 were brought to interview.

Among the first 20% of the sample who attended for interview we enriched at a rate of 2:1 for adolescents with a score of 2 or more on the APSS psychotic symptoms questionnaire. For the majority (80%), however, the sample was a random sample representative of the overall larger surveyed sample. A frequency weight was applied in STATA for the statistical analyses to account for enrichment at a rate of 2:1 in the first 20% of interviewed participants.

Socio-economic status (SES) of each study participant was determined using parental occupation assessed according to the Irish Social Class Scale from the Irish Central Statistics Office. We divided the sample into two major groups according to social class: the first group contained SES groups 1 and 2 (professional/managerial) and the second group contained SES groups 3 to 7: (non-manual skilled; skilled manual; semi-skilled manual; unskilled manual; unemployed). The SES of participants approximated national figures: 34.6% of participants were categorized as SES groups 1-2 (compared to 32.1% of the national population) and 65.4% as SES groups 3-7 (compared to 67.9% of the national population). Participants were also representative of the overall national ethnic profile from the 2006 national census, including 88.9% Irish-born participants (compared to 90.3% of 0 to 14 year olds nationally). In addition, adolescents who attended for interview did not differ from the larger surveyed sample in the proportion of abnormal or borderline-abnormal scores on the SDQ measure of general psychopathology ( $\chi^2=1.22$  (df=1)  $p=0.27$ ) or on their score on the APSS measure of psychotic symptoms (interviewed group mean=1.8

(SE=0.12), non-interviewed group mean=1.9 (SE=0.19);  $t=0.26$ ,  $df=1130$ ,  $p=0.79$ ).

### **Interview Assessment**

The principal interview instrument used to assess psychopathology in this study was the Schedule for Affective Disorders and Schizophrenia for School-aged Children, Present and Lifetime Versions (K-SADS).<sup>27</sup> The K-SADS is a well-validated semi-structured diagnostic interview for the assessment of Axis-1 psychiatric disorders in children and adolescents. Adolescents and parents were interviewed separately, both answering the same questions about the adolescent. The K-SADS includes a psychosis section where participants are assessed for psychotic symptomatology. This section of the interview was altered to include questions covering the five positive symptom sections of the SIPS (P1 to P5) in order to provide additional information necessary to diagnose prodromal risk syndromes. Questions were also added about the onset and frequency of and attributions for symptoms, as well as questions about whether or not symptoms caused distress to the interviewee. The K-SADS interview finished with an assessment of the young person's functioning using the Children's Global Assessment Scale, which is a validated measure of global functioning adapted from the Global Assessment Scale for adults.<sup>28</sup> Interviews were conducted by two psychiatrists and four psychologists with extensive training in the assessment of psychotic symptomatology, and involved assessments of between 2 and 4 hours,

depending on the level of symptoms reported, with detailed notes recorded over the course of the interview.

Three certified SIPS raters (IK, AM and MC), trained by a senior clinician from the Yale PRIME Prodrome Research Clinic (Barbara Walsh), reviewed all interviews and applied the criteria of prodromal syndromes (COPS) in order to confirm risk syndrome diagnoses. Diagnostic criteria are included in Appendix 1 but, briefly, there were 3 possible risk syndrome diagnoses. Attenuated positive symptoms prodromal syndrome (APSP) is characterized by the following: (i) positive psychotic symptoms that are rated as three (moderate), four (moderately severe) or five (severe but not psychotic) on the P1 to P5 scales, (ii) symptoms began, or worsened by one or more scale points, within the past 12 months and (iii) symptoms occurred at least one a week in the past month. Brief intermittent psychotic symptoms prodromal syndrome (BIPS) is characterized by the following: (i) positive symptom(s) rated six (i.e., frankly psychotic), (ii) symptom(s) have reached a psychotic level of intensity within the past three months and (iii) symptom(s) have been present for at least several minutes per day at a frequency of at least once per month. Genetic risk and deterioration prodromal syndrome (GRD) is characterized by the following: (i) the participant meets criteria for current schizotypal personality disorder or has a first degree relative with a psychotic disorder, and (ii) a drop of at least 30% in the Global Assessment of Functioning score over the past month as compared to 12 months ago. We also estimated the prevalence of prodromal risk syndromes/at risk mental states according to CAARMS criteria (see Appendix 1 for full CAARMS criteria). In

addition to criteria on positive psychotic symptoms, the most recent edition of the CAARMS added a criterion of a 30% decline in social/occupational functioning. We report CAARMS risk syndrome prevalences with and without this criterion in our results.

## **Statistical Analyses**

Statistical analyses were conducted using STATA version 11.0 for Windows. A frequency weight was applied in STATA for the statistical analyses to account for enrichment at a rate of 2:1 in the first 20% of interviewed participants for adolescents who scored 2 or more on the APSS during the survey study. All percentages reported are based on weighted data. Chi-square and t-tests were used to measure differences in participants who took part in the interview study compared to the larger surveyed population sample. A prevalence figure is reported for prodromal risk syndromes in the interviewed sample. Logistic regression was used to examine the relationship between risk syndromes and Axis-1 diagnoses. Analysis of variance was used to examine the association between risk syndrome status and functioning on the Children's Global Assessment Scale.

Ethical approval for this study was granted by the Beaumont Hospital Medical Ethics Committee.

## **Results**

### **Prodromal risk syndromes/at risk mental states**

A total of 22.6% (n=53) of the sample reported psychotic symptoms, primarily auditory hallucinations. Applying SIPS criteria, 8.1% (n=19) of the total sample met criteria for a current prodromal risk syndrome. Specifically, 7.7% met criteria for an attenuated positive symptoms prodromal syndrome (APSP) and 3.5% met criteria for a brief intermittent psychotic symptoms prodromal syndrome (BIPS). One additional participant met criteria for APSP in remission. Three participants had a first degree relative with a psychotic disorder but none of these participants had experienced a significant decline in functioning within the past year and so no participant met criteria for GRD. There was no significant effect of age or socioeconomic status on risk syndrome status. However, significantly more males than females fulfilled criteria for a risk syndrome ( $\chi^2=4.17$ ,  $p=0.04$ ).

Applying the CAARMS criteria, 7.7% of the sample met criteria for an at risk mental state without applying a criterion of a 30% decrease in functioning in the last year. Just 0.9% (n=2) of participants would have met criteria for an at risk mental state, however, were a 30% decrease in functioning used as an obligate criterion (using the Children's Global Assessment Scale as the measure of functioning).

### **Attenuated Psychosis Syndrome**

The proposed DSM-V diagnosis of attenuated psychosis syndrome (see Figure 1) differs from APSP in Criterion D, that is, the requirement that, in addition to attenuated

psychotic symptoms, there is also distress and disability. The majority of adolescents who fulfilled criteria for APSP, in fact, did report being distressed by their symptoms (89%). Similarly, in terms of disability, adolescents who fulfilled criteria for APSP also demonstrated significantly impaired functioning compared to controls, as measured by the Children's Global Assessment Scale ( $F=24.5$ ,  $df=1$ ,  $p<0.0001$ ).

### **Prodromal Risk Syndromes and Psychiatric Comorbidity**

A total of 63% of the adolescents who met criteria for a prodromal risk syndrome also met criteria for at least one lifetime Axis-1 diagnosis (OR=4.77, CI95=1.81 – 12.52;  $p<0.01$ ) (see Table 1). The most common lifetime Axis 1 diagnosis was major depressive disorder (MDD) (26%). Thirty seven percent of adolescents with risk syndromes met criteria for a depressive disorder, 32% met criteria for an anxiety disorder and 21% met criteria for a behavioral disorder. Furthermore, 30% reported current or past suicidal ideation and 20% reported a history of self harm.

### **Discussion**

In a general population sample of 212 school-going adolescents, we found that up to 8% fulfilled criteria for diagnosis of a current prodromal risk syndrome. The findings of the current work suggest that there are many prospectively identifiable individuals with risk syndromes in the community who have not presented to clinical services. What proportion of these individuals would ultimately

present to services is unknown. However, while the overwhelming majority of cases of new onset psychosis have been established to be preceded by a prodromal period,<sup>29-31</sup> only a minority of the population-wide incidence of psychosis emerge in patients from prodrome risk syndrome clinics, which suggests that many such individuals will not clinically present prior to illness onset.

APSP, as described, differs from the proposed DSM-V diagnosis of attenuated psychosis syndrome in Criterion D (“distress/disability/treatment seeking”, see Figure 1). However, the majority of adolescents meeting criteria for APSP reported distress as a result of their symptoms and this group demonstrated significantly poorer functioning on the Children’s Global Assessment Scale. BIPS diagnoses, which usually constitute a relatively small proportion of patients seen in prodromal risk syndrome clinics, were present in 40% of all risk syndromes in the current study. Interestingly, in the clinic, risk for psychosis has been demonstrated to be particularly high for patients with BIPS, with a faster onset of psychosis compared to young people with APSP.<sup>32</sup> It is possible that fewer BIPS patients will present clinically during the prodrome and are more likely to present for the first time during first episode psychosis due to what appears to be a shorter prodromal period. It is also possible that, because the symptoms are ‘brief’ and ‘intermittent’, that patients believe their symptoms have resolved and are, as a result, less likely to seek help. Further research will be necessary to understand this difference between the clinic and the community.

Non-psychotic psychiatric disorders were present in a large majority of adolescents with prodromal risk syndromes, consistent with research on clinically-presenting individuals.<sup>33</sup> Rosen et al., for example, reported that in a sample of clinically presenting individuals who met criteria for a prodromal risk syndrome, 76% had at least one diagnosable lifetime Axis 1 disorder.<sup>34</sup> Svirskis et al., similarly, reported that over 90% of help-seeking individuals who met criteria for a prodromal risk syndrome had at least one comorbid disorder.<sup>35</sup> Depressive disorders were the most common diagnosis in both studies, as in the current report. Lencz et al., using the same diagnostic instrument as the current study to assess for Axis-1 psychopathology in a sample of putatively prodromal help seekers, found MDD to be the most common diagnosis, followed by attention deficit/hyperactivity disorder, in keeping with our own community findings.<sup>36</sup>

There are a number of implications of this research in relation to the proposed DSM-V diagnosis of attenuated psychosis syndrome. Findings from the current study that might support this diagnosis include that (i) a large majority of the individuals identified are distressed by their symptoms; (ii) this group demonstrates significantly poorer global functioning; and (iii) the majority of these adolescents have other diagnosable psychopathology that suggests that they as a population are truly in need of care. On the other hand, the findings of the current study also raise a number of concerns or limitations with regard to creation of an ‘attenuated psychosis syndrome’ diagnosis, including that (i) the proposed diagnostic criteria are applicable to a relatively large proportion of adolescents,



meaning that, following publication of DSM-V, many young people could suddenly be imposed with a stigmatizing diagnosis that they did not previously have; (ii) we do not know the relative risk for psychosis among this group since longitudinal community research has not been conducted. Given the high prevalence of the syndrome, however, it is unlikely to approach the level of risk observed in help-seeking samples reported on to date; thus, we risk greatly increasing the rate of false positives; (iii) since the majority of these individuals already have psychiatric disorders, there would not, in most cases, appear to be a major financial barrier to receiving psychiatric treatment in healthcare systems that require a formal diagnosis for insurance purposes; (iv) the proportion of adolescents who fulfill criteria for a risk syndrome varies greatly depending on how 'disability' is interpreted in terms of the degree of functional decline, something that is not currently specified in the proposed criteria; and (v) 'attenuated psychosis syndrome' may be a misnomer for a syndrome that is, in fact, associated with a wide range of (non-psychotic) disorders.

It is important to note that none of the participants in the current study, despite meeting criteria for prodromal risk syndromes, had presented to a prodrome or other healthcare clinic and so none of the participants can be considered 'help seekers' in the same way as individuals who have been reported on to date in clinic-based research. Why some individuals who meet risk syndrome criteria present to clinics while others do not is unclear and will require further research. There are many possible reasons for this. As already speculated, given the high

proportion of BIPS in the current community study compared to the proportion of BIPS in clinic-based studies, it is possible that young people with BIPS are less likely to present to the clinic. The young age of participants in the current study may also be a contributing factor. Although, in our experience, even at this age, young people are very aware that these experiences are unusual, it is possible that younger individuals are less likely to attend their doctor or other health professional compared to older teenagers and young adults. Education around psychotic symptoms and psychosis risk syndromes may also be a factor. Addington et al., for example, showed that, following an extensive community education program, referrals to prodrome services increased.<sup>37</sup> Thus, a lack of community education and confusion about 'where to turn for help' with these unusual experiences may play a role in non-presentation. There may be multiple other differences between help-seeking and non-help seeking individuals with prodromal risk syndromes. Further cross-sectional and longitudinal research comparing clinical and community samples will be necessary to address this question.

### Strengths and Limitations

The general population sampling method used in the current study is the major strength, which allowed us to estimate the population prevalence of prodromal risk syndromes/at risk mental states. In addition, the approach used in the current study allowed us to investigate psychopathology and global functioning in very early stages of psychosis risk – earlier even than clinically presenting risk syndrome cases. A limitation is that the standard SIPS interview instrument was not used; rather the K-SADS

instrument was altered to include SIPS questions on positive symptoms from sections P1 to P5. Thus, it might be argued that this could result in underestimation of the true prevalence of prodromal risk syndromes. While we surveyed a relatively large number of adolescents, a relatively small proportion was brought to interview, introducing the risk of ascertainment bias, whereby individuals with a personal or family history of disorder may be more likely to agree to participate, thus self-selecting for increased rates of the disorder under study. However, we do not believe this to be the case in the current study for a number of reasons: (i) adolescents who attended the full interview study did not differ from the larger surveyed school sample from which they were drawn in terms of symptoms of general psychopathology, as measured by the SDQ, or in terms of psychotic symptoms, as measured by the APSS; (ii) only 1.3% of participants had a first degree relative with a history of psychotic illness, suggesting that families with psychosis were not more likely to participate; and (iii) the prevalence of mental disorders was very similar to previous epidemiological work both nationally and internationally.<sup>38,39</sup> Participants were also representative of the general population in terms of ethnicity and socioeconomic status. Nonetheless, further work to confirm our findings will be valuable.

It is important to note that research to date suggests that psychotic symptoms are more prevalent in early compared

to later childhood. In a meta-analysis of population-based studies on the prevalence of psychotic symptoms in child and adolescent populations, we found that psychotic symptoms were more common in younger (ages 9 to 12 years) compared to older (ages 13 to 18 years) children.<sup>40</sup> Thus, research in later adolescence, when psychosis risk is highest, might not find an equally high prevalence of prodromal risk syndromes compared to the younger population assessed in the current study. Further research among different age groups is necessary to address this question.

### Conclusion

Up to 8% of a community sample of 11 to 13 year olds met criteria for a prodromal risk syndrome in the current study. Adolescents with risk syndromes demonstrated poorer global functioning and high rates of non-psychotic psychopathology, consistent with findings on clinically presenting risk syndrome patients. The long term outcomes for these 'community risk syndromes' has yet to be determined and will require further research. However, the decline in rates of conversion to psychosis at risk syndrome clinics over the past number of years highlights the fact that, even in clinically presenting individuals, outcomes are not clear cut.<sup>33,41</sup> Follow up research will be necessary to determine the degree of risk for clinical psychosis associated with prodromal risk syndromes in the community.

Figure 1: Criteria for the proposed Attenuated Psychosis Syndrome for DSM-V:

- a) Characteristic symptoms: at least one of the following in attenuated form with intact reality testing, but of sufficient severity and/or frequency that it is not discounted or ignored
  - (i) delusions
  - (ii) hallucinations
  - (iii) disorganized speech
- b) Frequency/Currency: symptoms meeting criterion A must be present in the past month and occur at an average frequency of at least once per week in past month
- c) Progression: symptoms meeting criterion A must have begun in or significantly worsened in the past year
- d) Distress/Disability/Treatment Seeking: symptoms meeting criterion A are sufficiently distressing and disabling to the patient and/or parent/guardian to lead them to seek help
- e) Symptoms meeting criterion A are not better explained by any DSM-5 diagnosis, including substance-related disorder
- f) Clinical criteria for any DSM-V psychotic disorder have never been met

Table 1: Lifetime Axis 1 diagnoses and suicidal behavior in patients with prodromal risk syndromes and in controls

Lifetime Axis 1 diagnosis	Prodromal risk syndrome (n=19)	Controls (n=193)
Any diagnosis	63%	28%
Affective disorders	37%	13%
Major Depressive Disorder	26%	5%
Dysthymic disorder	0	0.5%
Adjustment disorder with depressed mood	16%	8.4%
Behavioral Disorders	21%	7%
Attention Deficit/Hyperactivity Disorder	16%	4%
Oppositional Defiant Disorder	5%	4%
Conduct Disorder	0	1%
Anxiety Disorders	16%	13%
Generalized Anxiety Disorder	0	6%
Separation anxiety disorder	5%	5%
Avoidant disorder	5%	2%
Obsessive Compulsive Disorder	5%	2%
Social phobia	5%	5%
Suicidal ideation	30%	5%
Self-harm	20%	6%

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# ***Appendix***

## **Structured Interview for Prodromal Syndromes (SIPS) criteria**

Prodromal syndrome diagnostic categories include: (i) brief intermittent psychotic symptoms prodromal syndrome (BIPS), (ii) attenuated positive symptoms prodromal syndrome (APSP) and (iii) genetic risk and deterioration prodromal syndrome (GRD).

### **1. Brief intermittent psychotic symptoms prodromal syndrome (BIPS) criteria**

1a) At least one of the P1 to P5 scales scored a six (that is, psychotic)

**Plus**

1b) The symptom(s) have reached a psychotic level of intensity within the past three months

**Plus**

1c) The symptom(s) have been present for at least several minutes per day at a frequency of at least once per month

### **2. Attenuated positive symptoms prodromal syndrome (APSP) criteria**

2a) At least one of the P1 to P5 scales (which relate to positive psychotic symptoms) is scored three (moderate), four (moderately severe) or five (severe but not psychotic)

**Plus**

2b) Symptom(s) have begun, or worsened by one or more scale points, within the past 12 months

**Plus**

2c) Symptom(s) have occurred at an average frequency of at least once per week in the past month

### **3. Genetic risk and deterioration prodromal syndrome (GRD) criteria**

3a) The participant meets criteria for current schizotypal personality disorder or has a first degree relative with a psychotic disorder

**plus**

3b) A drop of at least 30% in the Global Assessment of Functioning score over the past month as compared to 12 months ago.

Note, in the current study, given the complex issues around diagnosing young people (aged 11 – 13 years) with personality disorders, a diagnosis of GRD could only be given if, in addition to the stipulated functional decline, the individual had a first degree relative with a psychotic disorder.



### **Clinical Assessment of At Risk Mental States (CAARMS) criteria**

Prodromal syndrome diagnostic categories include (i) vulnerability group, (ii) attenuated psychosis group and (iii) brief limited intermittent psychotic symptoms (BLIPS group)

#### **1. Vulnerability Group criteria**

1a) Family history of psychosis in a first degree relative **or** schizotypal personality disorder in the identified patient

##### **Plus**

1b) 30% drop in social/occupational functioning (measured on the Social and Occupational Functioning Assessment Scale – SOFAS) compared to premorbid level, sustained for a month, occurred within past 12 months **or** a SOFAS score of 50 or less for past 12 months or longer

#### **2. Attenuated Psychosis Group criteria**

2a) Psychotic symptoms of subthreshold intensity, specifically a global rating scale score of 3-5 on Unusual Thought Content subscale, 3-5 on Non-Bizarre Ideas subscale, 3-4 on Perceptual Abnormalities subscale and/or 4-5 on Disorganised Speech subscales of the CAARMS

##### **Plus**

2b) Frequency Scale Score of 3-6 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities and/or Disorganised Speech subscales of the CAARMS for at least a week

2c) Subthreshold frequency: Global Rating Scale score of 6 on Unusual Thought Content, 6 on Non-Bizarre Ideas, 5-6 on Perceptual Abnormalities and/or 6 on Disorganised Speech subscales of the CAARMS

##### **Plus**

2d) Frequency scale score of 3 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities and/or Disorganised Speech subscales of CAARMS

##### **Plus (for both categories)**

2e) Symptoms present in past year

##### **Plus (for both categories)**

2f) 30% drop in SOFAS score from premorbid level, sustained for a whole month, occurred within past 12 months or SOFAS score of 50 or less for past 12 months or longer

### **3. BLIPS Group criteria**

3)a Global Rating Scale score of 6 on Unusual Thought Content subscale, 6 on Non-Bizarre Ideas, 5 or 6 on Perceptual Abnormalities subscale and/or 6 on Disorganised Speech subscales of the CAARMS

#### **Plus**

3b) Frequency Scale score of 4-6 on Unusual Thought Content, Non-Bizarre Ideas, Perceptual Abnormalities and/or Disorganised Speech subscales

#### **Plus**

3c) Each episode of symptoms is present for less than one week and symptoms spontaneously remit on every occasion

#### **Plus**

3d) Symptoms occurred during last year

#### **Plus**

3e) 30% drop in SOFAS score from premorbid level, sustained for a month, occurred within past 12 months or SOFAS score of 50 or less for past 12 months or longer

Note: in the current study the social/occupational functioning measure was the Children's Global Assessment Scale and not Social and Occupational Functioning Assessment Scale. The criterion of a 30% decline in social/occupational functioning was added to the most recent edition of the CAARMS but was not a criterion for prodromal syndromes in previously published research. We report prevalences for CAARMS prodromal syndromes (i) without and (ii) with this new criterion.